

PATENT Customer No. 22,852 Attorney Docket No. 05725.0806-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Carlos O. PINZON et al.) Group Art Unit: 1711
Application No.: 09/733,896)) Examiner: U. K. RAJGURU \
Filed: December 12, 2000	<i>)</i>)
For: COMPOSITIONS COMPRISING AT LEAST ONE OIL-SOLUBLE POLYMER CHOSEN FROM ALKYL CELLULOSES AND ALKYLATED GUAR GUMS))))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Office the documents on the attached Form PTO/SB/08. This Supplemental Information Disclosure Statement (SIDS) is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this SIDS is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed documents, excluding any U.S. Patents, U.S. Patent Application Publications, and co-pending U.S. Patent Applications which are available in the Image File Wrapper System, are attached.

08/08/2005 SFELEKE1 00000026 09733896

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Further to the Notification of Pending Litigation filed on July 23, 2004, in this application, Applicants submit four additional documents from the on-going litigation *L'Oreal S.A., et al. v. Estee Lauder Companies Inc. et al.*, Civil Action No. 04-1660 (D.N.J.). The first document is Estee Lauder's Amended Answer and Counterclaims, dated April 21, 2005. The second document is a copy of Estee Lauder's Response to Plaintiffs' First Set of Interrogatories (Nos. 1-6). The third document is a copy of Estee Lauder's Response to Plaintiffs' Third Set of Interrogatories (Nos. 8-13). The fourth document is a copy of the court docket, as of January 2, 2005, for this case. All four of these documents are enclosed with this Information Disclosure Statement and listed on the IDS Form PTO/SB/08.

The United States Court of Appeals for the Federal Circuit held in *Dayco Products, Inc. v. Total Containment, Inc.*, 329 F.3d 1358, 66 U.S.P.Q.2d 1801 (Fed. Cir. 2003), that an "adverse decision" by another examiner may meet the materiality standard under the amended Rule 56, and thus, Applicants should disclose prior rejections of "substantially similar claim[s]" to the Office. Accordingly, although Applicants are not representing that the office actions in the co-pending applications are material to the present application and are not admitting that any of the other claims are substantially similar, out of an abundance of caution, Applicants have listed the substantive office actions filed in co-pending applications on the attached form.

Applicants respectfully request that the Office consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Non-English Language References

With respect to the following non-English language documents listed on the attached Form PTO/SB/08, Applicants provide the following information regarding English-language abstracts and translations:

- 1. <u>DE 197 26 184 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 2. <u>DE 197 55 649 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 6,159,455, which is listed herewith.
- 3. <u>DE 198 55 649 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 6,545,174, which is listed herewith.
- 4. <u>EP 0 507 692 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 5,252,323, which is listed herewith.
- 5. <u>EP 0 518 772 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 5,795,565, which is listed herewith.
- 6. <u>EP 0 518 773 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 5,695,747, which is listed herewith.

- 7. <u>EP 0 669 323 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 5,585,091, which is listed herewith.
- 8. <u>EP 0 775 698 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 5,955,060, which is listed herewith.
- 9. <u>EP 0 790 243 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 5,849,909, which is listed herewith.
- 10. **EP 0 863 145 A2** An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 5,976,512, which is listed herewith.
- 11. <u>EP 0 878 469 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 5,962,452, which is listed herewith.
- 12. <u>EP 0 967 200 A1</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 6,093,385, which is listed herewith.
- 13. <u>FR 2 315 991</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to British Patent No. 1,539,625, which is submitted herewith.

- 14. FR 2 416 008 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith. The document is believed to be related to U.S. Patent No. 4,247,411, which is listed herewith.
- 15. FR 2 796 550 An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 16. <u>JP 50/58242</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract for JP 78 043577, which is submitted herewith.
- 17. <u>WO 93/04665</u> An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
- 18. <u>WO 98/25922</u> An abstract of this document can be found on the front of this document, as well as the English language Derwent Abstract submitted herewith. This document is believed to be related to U.S. Patent No. 6,361,764 and U.S. Application Publication No. US 2002/0010179 A1, which are listed herewith.

Additional DERWENT Abstracts

With respect to the following DERWENT abstracts listed on the attached Form PTO/SB/08, Applicants note that the corresponding non-English language documents were cited in the Information Disclosure Statements (IDSs) submitted on July 24, 2003 and May 18, 2004; however, those IDSs did not separately list the submission of the relevant DERWENT abstracts. Applicants wish for these DERWENT abstracts to be considered by the Examiner and listed on the face of any patent granted on the present application. Applicants submit these documents herewith for consideration:

- 1. **DERWENT WO 01/97773** corresponding non-English language document submitted on May 18, 2004, not yet initialed and returned by the Examiner;
- 2. <u>DERWENT WO 02/056847</u> corresponding non-English language document submitted on May 18, 2004, not yet initialed and returned by the Examiner;
- 3. <u>DERWENT WO 02/056848</u> corresponding non-English language document submitted on May 18, 2004, not yet initialed and returned by the Examiner;
- 4. **DERWENT WO 02/47622** corresponding non-English language document submitted on May 18, 2004, not yet initialed and returned by the Examiner;
- 5. <u>DERWENT WO 02/47629</u> corresponding non-English language document submitted on May 18, 2004, not yet initialed and returned by the Examiner;
- 6. <u>DERWENT WO 02/47630</u> corresponding non-English language document submitted on May 18, 2004, not yet initialed and returned by the Examiner; and
- 7. <u>DERWENT WO 02/056847</u> corresponding non-English language document submitted on October 23, 2003, and initialed by the Examiner on July 24, 2003.

Conclusion

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Office applies any of the listed documents as prior art against any claims in the application and Applicants determine that the cited document do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Application No. 09/733,896 Attorney Docket No. 05725.0806-00000

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: August 5, 2005

Michelle E. O'Brien Reg. No. 46,203

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IDS Form PTO/S	B/08: Substitute for for	m 1449A/A-TO	U6 0 5 20 05	C	omplete if Known	
		I.E.	, É	Application Number	09/733,896	
INF	ORMATION D	NSCI OS	RE	Filing Date	December 12, 2000	
STA	TEMENT BY	ADDITION	EMAN	First Named Inventor	Carlos O. PINZON et al.	
314	VIEWENI DI	AFFLICA	73 W 1	Art Unit	1711	
	(Use as many sheets	as necessary)		Examiner Name	U.K. RAJGURU	
Sheet	1	of	11	Attorney Docket Number	05725.0806-00000	

	U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS							
Examiner Initials	Cite No.	Document Number Number-Kind Code (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		2,463,264	3/1/1949	Graenacher				
		4,148,875	4/10/1979	Barnett et al.				
		4,247,411	1/27/1981	Vanlerberghe et al.				
		4,367,390	1/4/1983	Balleys et al.				
		4,620,492	11/4/1986	Vogg et al.				
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		5,034,219	7/23/1991	Deshpande et al.				
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		5,252,323	10/12/1993	Richard et al.				
		5,268,029	12/7/1993	Demangeon et al.				
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Examiner	Date	
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IDS Form PTO/S	B/08: Substitute for for	n 1449A/PTO A	U6 N 5 2005	C	omplete if Known	
		是	20 0 0 2000	Application Number	09/733,896	
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	(Use as many sheets	as necessary)		Examiner Name	U.K. RAJGURU	•
Sheet	2	of	11	Attorney Docket Number	05725.0806-00000	

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS							
xaminer Initials	Cite No.	Document Number Number-Kind Code (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		5,849,909	12/15/1998	Richard et al.	T iguico / ppodi		
*		5,955,060	9/21/1999	Huglin et al.			
		5,962,452	10/5/1999	Haase et al.			
		5,972,095	10/26/1999	Graves et al.			
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	_	6,001,980	12/14/1999	Borzo et al.			
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		6,066,328	5/23/2000	Ribier et al.			
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IDS Form PTO/SB/08: Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

C	Complete if Known					
Application Number	09/733,896					
Filing Date	December 12, 2000					
First Named Inventor	Carlos O. PINZON et al.	-				
Art Unit	1711					
Examiner Name	U.K. RAJGURU					
Attorney Docket Number	05725.0806-00000	, -				

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U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS							
Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant			
NO.	Number-Kind Code (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear			
	6,875,245 B2	4/5/2005	Pavlin, Mark S.				
	US 2002/0010179 A1	1/24/2002	Richard et al.				
	US 2002/0120036 A1	8/29/2002	Pinzon et al.				
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•	US 2003/0161807 A1	8/28/2003	Lemann				
	US 2003/0161848 A1	8/28/2003	Ferrari et al.				
	US 2003/0185780 A1	10/2/2003	Ferrari et al.				
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	Cite No.	Cite No. Document Number Number-Kind Code (if known) 6,875,245 B2 US 2002/0010179 A1 US 2002/0120036 A1 US 2003/0086883 A1 US 2003/0147837 A1 US 2003/0161807 A1 US 2003/0161848 A1 US 2003/0185780 A1 US 2004/0013625 A1 US 2004/0028636 A1 US 2004/0042980 A1 US 2004/0091510 A1 US 2004/0126401 A1 US 2004/0166076 A1	Cite No. Document Number Number Number-Kind Code (if known) Issue or Publication Date MM-DD-YYYY 6,875,245 B2 4/5/2005 US 2002/0010179 A1 1/24/2002 US 2002/0120036 A1 8/29/2002 US 2003/0086883 A1 5/8/2003 US 2003/0147837 A1 8/7/2003 US 2003/0161807 A1 8/28/2003 US 2003/0161848 A1 8/28/2003 US 2003/0185780 A1 10/2/2003 US 2003/0198613 A1 10/23/2003 US 2004/0013625 A1 1/22/2004 US 2004/0042980 A1 3/4/2004 US 2004/0086478 A1 5/6/2004 US 2004/0091510 A1 5/13/2004 US 2004/0126401 A1 7/1/2004 US 2004/0166076 A1 8/26/2004	Cite No. Document Number Number Issue or Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Document 6,875,245 B2 4/5/2005 Pavlin, Mark S. US 2002/0010179 A1 1/24/2002 Richard et al. US 2003/0086883 A1 8/29/2002 Pinzon et al. US 2003/0147837 A1 8/7/2003 Feng et al. US 2003/0161807 A1 8/28/2003 Lemann US 2003/0161848 A1 8/28/2003 Ferrari et al. US 2003/0185780 A1 10/2/2003 Ferrari et al. US 2003/0198613 A1 10/23/2003 Feng et al. US 2004/0013625 A1 1/22/2004 Kanji US 2004/0028636 A1 2/12/2004 Collin US 2004/0042980 A1 3/4/2004 Kanji et al. US 2004/0091510 A1 5/6/2004 Ferrari US 2004/0126401 A1 7/1/2004 Collin US 2004/0166076 A1 8/26/2004 Ferrari et al.			

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Examiner Initials	Cite No.	Foreign Patent Document Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		DE 197 26 184 A1	12/24/1998	Gers-Barlag		Abstract
		DE 197 55 649 A1	6/17/1999	Habeck et al.		Abstract
		DE 198 55 649 A1	6/8/2000	Habeck et al.		Abstract
		EP 0 507 692 A1	10/7/1992	Richard et al.		Abstract
		EP 0 517 104 B1	12/9/1992	Raspanti		English
		EP 0 518 772 A1	12/16/1992	Eteve et al.		Abstract

Examiner	Date
Signature	Considered

IDS Form PTO/SB/08: Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 4 of

Ce	Complete if Known					
Application Number	09/733,896					
Filing Date	December 12, 2000	-				
First Named Inventor	Carlos O. PINZON et al.					
Art Unit	1711					
Examiner Name	U.K. RAJGURU					
Attorney Docket Number	05725.0806-00000					

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	J.		FOREIGN PA	TENT DOCUMENTS		
PATTERN	No.	Foreign Patent Document Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
	<u> </u>	EP 0 518 773 A1	12/16/1992	Forestier et al.		Abstract
	l	EP 0 570 838 B1	11/24/1993	Raspanti		English
		EP 0 669 323 A1	8/30/1995	Pelzer et al.		Abstract
	-	EP 0 775 698 A1	5/28/1997	Huglin et al.		Abstract
		EP 0 790 243 A1 ,	8/20/1997	Richard et al.		Abstract
_		EP 0 796 851 A1	9/24/1997	Raspanti		English
		EP 0 863 145 A2	9/9/1998	Huber		Abstract
		EP 0 878 469 A1	11/18/1998	Haase et al.		Abstract
		EP 0 893 119 B1	1/27/1999	Luther		English
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		EP 0 967 200 A1	12/29/1999	Habeck et al		Abstract
		EP 1 044 676 A2	10/18/2000	Ishii et al.		English
		FR 2 315 991	1/28/1977	Vanlerberghe et al.		Abstract
		FR 2 416 008	8/31/1979	Vanlergerghe et al.		Abstract
		FR 2 796 550	1/26/2001	Dencausse et al.		Abstract
	100	GB 1 539 625	1/31/1979	Vanlerberghe et al.	40	English
		JP 50/58242	5/21/1975			Abstract
		WO 05/013887 A2	2/17/2005	Lu et al		English
		WO 93/04665	3/18/1993	Forestier et al.		Abstract
		WO 98/25922	6/18/1998	Richard et al.		Abstract

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	NON PATENT LITERATURE DOCUMENTS				
Initials No. (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			Translation		
		Bangham, A.D. et al. Diffusion of Univalent Ions across the Lamellae of Swollen Phospholipids, Journal of Molecular Biology, pp. 238-252, Vol. 13, August to October 1965			

Examiner	Date	
Signature	Considered	

DS Form PTO/SB/08: Substitute for form 1449A/PTO	Complete if Known		
	Application Number	09/733,896	
INFORMATION DISCLOSURE	Filing Date	December 12, 2000	
	First Named Inventor	Carlos O. PINZON et al.	
STATEMENT BY APPLICANT	Art Unit	1711	

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Examiner Name U.K. RAJGURU 05725.0806-00000 11 Attorney Docket Number

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E.		NON PATENT LITERATURE DOCUMENTS	
Exemination Initials	MACTE No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		Co-Pending Application No. 10/182,830; Attorney Docket No. 05725.0795-01 Title: COSMETIC COMPOSITION COMPRISING HETERO POLYMERS AND A SOLID SUBSTANCE AND METHOD OF USING SAME U.S. Filing Date: August 2, 2002	
	,	Co-Pending Application No. 10/203,018; Attorney Docket No. 05725.0816-01 Title: COMPOSITION COMPRISING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE INERT FILLER AND METHODS FOR USE U.S. Filing Date: August 5, 2002	
		Co-Pending Application No. 10/203,254; Attorney Docket No. 05725.0817-01 Title: COSMETIC COMPOSITIONS CONTAINING AT LEAST ONE HETEROPOLYMER AND AT LEAST ONE GELLING AGENT AND METHODS OF USING THE SAME U.S. Filing Date: August 7, 2002	
		Co-Pending Application No. 10/494,864; Attorney Docket No. 06028.0047-00 Title: COMPOSITION CONTAINING AN AMINO ACID N-ACYLATED ESTER AND A POLYAMIDE-STRUCTURED UV FILTER U.S. Filing Date: November 23, 2004	
		Co-Pending Application No. 10/699,780, Attorney Docket No. 5725.0895-02 Title: METHODS OF DISPERSING AT LEAST ONE COLORING AGENT USING AT LEAST ONE HETEROPOLYMER U.S. Filing Date: November 4, 2003	
		Co-Pending Application No. 10/918,579, Attorney Docket No. 05725.0808-02 Title: COMPOSITIONS CONTAINING HETEROPOLYMERS AND OILSOLUBLE ESTERS AND METHODS OF USING SAME U.S. Filing Date: August 16, 2004.	
		Co-Pending Application No. 10/990,475, Attorney Docket No. 05725.1004-01 Title: USE OF A POLYMER FOR OBTAINING AN EXPRESS MAKE-UP OF KERATIN MATERIALS U.S. Filing Date: November 18, 2004	
		Co-Pending Application No. 10/993,430, Attorney Docket No. 05725.1003-01 Title: COSMETIC COMPOSITION COMPRISING A POLYMER BLEND U.S. Filing Date: November 22, 2004	
		Co-Pending Application No. 10/993,431, Attorney Docket No. 05725.0932-01 Title: A TRANSFER-FREE COMPOSITION STRUCTURED IN RIGID FORM BY A POLYMER U.S. Filing Date: November 22, 2004	
W 5		Co-Pending Application No. 11/019,382, Attorney Docket No. 05725.1378 Title: COSMETIC COMPOSITION COMPRISING TWO DIFFERENT HETERO POLYMERS AND METHOD OF USING SAME U.S. Filing Date: December 23, 2004	
-		English language DERWENT abstract of DE 197 26 184	
,		English language DERWENT abstract of DE 197 55 649 A1	
		English language DERWENT abstract of DE 198 55 649 A1	
		English language DERWENT abstract of EP 0 507 692 A1	

Examiner	Date	
Signature	Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known			
Application Number	09/733,896	******	
Filing Date	December 12, 2000		
First Named Inventor	Carlos O. PINZON et al.		
Art Unit	1711		
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Attorney Docket Number	05725.0806-00000		

(Use as many sheets as necessary)

AUG 0 5 2005 NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item **Translation** aminer IME BADEMA (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. English language DERWENT abstract of EP 0 518 772 A1 English language DERWENT abstract of EP 0 518 773 A1 English language DERWENT abstract of EP 0 669 323 A1 English language DERWENT abstract of EP 0 775 698 A1 English language DERWENT abstract of EP 0 790 243 A1 English language DERWENT abstract of EP 0 863 145 A2 English language DERWENT abstract of EP 0 878 469 A1 English language DERWENT abstract of EP 0 967 200 A1 English language DERWENT abstract of FR 2 315 991 English language DERWENT abstract of FR 2 416 008 English language DERWENT abstract of FR 2 796 550 English language DERWENT abstract of JP 78/043577 English language DERWENT abstract of WO 01/97773 English language DERWENT abstract of WO 02/056847 English language DERWENT abstract of WO 02/056848 English language DERWENT abstract of WO 02/47622 English language DERWENT abstract of WO 02/47629 English language DERWENT abstract of WO 02/47630 English language DERWENT abstract of WO 86/04916 English language DERWENT abstract of WO 93/04665 English language DERWENT abstract of WO 98/25922 Estee Lauder MagnaScopic Maximum Volume mascara product packaging, believed to have first been sold in 2003 Estee Lauder's Amended Answer and Counterclaims, dated April 21, 2005, in the ongoing litigation L'Oreal S.A., et al., v. The Estee Lauder Companies Inc., et al., Civil Action No. 04-1660 (D.N.J.) Estee Lauder's Response to Plaintiff's First Set of Interrogatories (Nos. 1-6), dated September 27, 2004, in the on-going litigation L'Oreal S.A., et al. v. The Estee Lauder

Examiner	Date	
Signature	Considered	

Companies Inc., et al., Civil Action No. 04-1660 (D.N.J.)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

11

Complete if Known			
Application Number	09/733,896		
Filing Date	December 12, 2000		
First Named Inventor	Carlos O. PINZON et al.		
Art Unit	1711		
Examiner Name	U.K. RAJGURU		
Attorney Docket Number	05725.0806-00000		

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B	NON PATENT LITERATURE DOCUMENTS	
Initial ADEMNO	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
	Estee Lauder's Response to Plaintiff's Third Set of Interrogatories (Nos. 8-13), dated June 21, 2005, in the on-going litigation L'Oreal S.A., et al. v. The Estee Lauder	
	Companies Inc., et al., Civil Action No. 04-1660 (D.N.J.) International Search Report in PCT/US03/41618 (Atty. Docket No. 05725.1338-00304), dated March 11, 2005	
	International Search Report in PCT/US04/01071 (Atty. Docket No. 5725.1335-00304), dated February 22, 2005	
	McCutcheon's VOLUME 1: Emulsifiers & Detergents, North American Edition MC Publishing Co., Glen Rock NJ (1993), pp. 272-273.	
	Office Action in co-pending Application No. 09/618,066 (Attorney Docket No. 05725.0656-00) dated December 21, 2001 (Ex. Seidleck)	
	Office Action in co-pending Application No. 09/618,066 (Attorney Docket No. 05725.0656-00) dated July 15, 2002 (Ex. Sheikh)	
	Office Action in co-pending Application No. 09/618,066 (Attorney Docket No. 05725.0656-00) dated July 16, 2003 (Ex. Sheikh)	
	Office Action in co-pending Application No. 09/618,066 (Attorney Docket No. 05725.0656-00) dated November 19, 2003 (Ex. Sheikh)	
	Office Action in co-pending Application No. 09/685,577 (Attorney Docket No. 05725.0656-01) dated August 11, 2004 (Ex. Venkat)	
	Office Action in co-pending Application No. 09/685,577 (Attorney Docket No. 05725.0656-01) dated July 15, 2002 (Ex. Sheikh)	
	Office Action in co-pending Application No. 09/685,577 (Attorney Docket No. 05725.0656-01) dated July 16, 2003 (Ex. Sheikh)	
	Office Action in co-pending Application No. 09/685,577 (Attorney Docket No. 05725.0656-01) dated November 19, 2003 (Ex. Sheikh)	
	Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05725.0659-01) dated August 11, 2004 (Ex. Pryor)	
5	Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05725.0659-01) dated February 8, 2005 (Ex. Pryor)	
	Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05725.0659-01) dated May 7, 2003 (Ex. Pryor)	
	Office Action in co-pending Application No. 09/685,578 (Attorney Docket No. 05725.0659-01) dated November 19, 2003 (Ex. Pryor)	
	Office Action in co-pending Application No. 09/733,896 (Attorney Docket No. 05725.0806) dated January 28, 2003 (Ex. Rajguru)	
	Office Action in co-pending Application No. 09/733,896 (Attorney Docket No. 05725.0806) dated July 19, 2002 (Ex. Rajguru)	

Examiner Date			 	_
Signature Considered		Date		
	Signature		 	

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known			
				Application Number	09/733,896		
	INFORMATION	DISCLOSI	IRF	Filing Date	December 12, 2000		
	CTATEMENT D	/ ADDI IC	ANIT	First Named Inventor	Carlos O. PINZON et al.		
	STATEMENT BY Superior	APPLICA	41 4 1	Art Unit	1711		
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7	Sheet 8	of	11	Attorney Docket Number	05725.0806-00000		

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Er.		NON PATENT LITERATURE DOCUMENTS	,
xamin <i>BA</i> I Initials	No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		Office Action in co-pending Application No. 09/733,896 (Attorney Docket No. 05725.0806) dated November 18, 2003 (Ex. Rajguru)	·
		Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated April 15, 2002 (Ex. Berman)	
		Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated April 23, 2003 (Ex. Wells)	
		Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated August 29, 2002 (Ex. Berman)	
		Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated May 6, 2004 (Ex. Yu)	
		Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated April 25, 2005 (Ex. Yoon)	
	-	Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated April 29, 2003 (Ex. Yoon)	
		Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated August 28, 2002 (Ex. Yoon)	
	,	Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated December 23, 2003 (Ex. Yoon)	
	-	Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated April 7, 2004 (Ex. Lamm)	
		Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated April 9, 2003 (Ex. Lamm)	
		Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated May 3, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated September 22, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595) dated April 7, 2004 (Ex. Lamm)	
		Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595) dated December 1, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595) dated July 16, 2003 (Ex. Lamm)	
		Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595-00), dated June 2, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated August 13, 2003 (Ex. Howard)	

Examiner Date Considered Considered				-		
	Examiner	-			Date	
					Considered	

IDS Form PTO/SB/08: Substitute for form 1449A/PTO	Complete if Known			
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INFORMATION DISCLOSURE	Filing Date	December 12, 2000		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT O VP (Use as many sheets as necessary)	First Named Inventor	Carlos O. PINZON et al.		
	Art Unit	1711		
O P Suse as many sheets as necessary)	Examiner Name	U.K. RAJGURU		
Shoot . 0 of 11	Attorney Docket Number	05725 0806-00000		

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		Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated July 16, 2002 (Ex. Howard)	_
		Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated May 5, 2004 (Ex. Howard)	
		Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832-00) dated April 29, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 09/899,909 (Attorney Docket No. 05725.0920) dated December 18, 2001 (Ex. Dodson)	
		Office Action in co-pending Application No. 09/937,314 (Attorney Docket No. 05725.0932) dated May 19, 2004 (Ex. Venkat)	
	-	Office Action in co-pending Application No. 09/971,028 (Attorney Docket No. 05725.0895) dated August 11, 2003 (Ex. Wang)	
		Office Action in co-pending Application No. 09/971,028 (Attorney Docket No. 05725.0895) dated March 26, 2003 (Ex. Wang)	
•		Office Action in co-pending Application No. 10/012,029 (Attorney Docket No. 05725.1003) dated November 20, 2002 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/012,029 (Attorney Docket No. 05725.1003) dated September 08, 2003 (Ex. Venkat)	
	:	Office Action in co-pending Application No. 10/012,051 (Attorney Docket No. 05725.1004) dated January 14, 2003 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/012,051 (Attorney Docket No. 05725.1004) dated May 14, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/012,051 (Attorney Docket No. 05725.1004) dated October 3, 2003 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/012,052 (Attorney Docket No. 05725.1005) dated August 9, 2004 (Ex. Wells)	
	,	Office Action in co-pending Application No. 10/012,052 (Attorney Docket No. 05725.1005) dated November 6, 2003 (Ex. Wells)	
		Office Action in co-pending Application No. 10/012,052 (Attorney Docket No. 05725.1005-00), dated June 3, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018) dated December 30, 2003 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018) dated June 12, 2003 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018) dated November 5, 2002 (Ex. Venkat)	

Examiner	Date	
Signature	Considered	

IDS Form PTO/SB/08:	Substitute for form	1449A/PT	C
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known		
Application Number	09/733,896	
Filing Date	December 12, 2000	
First Named Inventor	Carlos O. PINZON et al.	
Art Unit	1711	
Examiner Name	U.K. RAJGURU	
Attorney Docket Number	05725.0806-00000	

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<u>v</u> .		NON PATENT LITERATURE DOCUMENTS	
Initials	No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
		Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018) dated September 22, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/047,987 (Attorney Docket No. 05725.1020) dated December 11, 2003 (Ex. Venkat)	
	2.13	Office Action in co-pending Application No. 10/047,987 (Attorney Docket No. 05725.1020) dated September 7, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/182,830 (Attorney Docket No. 05725.0795-01) dated April 4, 2005 (Ex. Venkat)	
	.=	Office Action in co-pending Application No. 10/182,830 (Attorney Docket No. 05725.0795-01) dated August 24, 2004 (Ex. Venkat)	
	_	Office Action in co-pending Application No. 10/198,931 (Attorney Docket No. 05725.0896) dated December 18, 2003 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/198,931 (Attorney Docket No. 05725.0896) dated September 1, 2004 (Ex. Venkat)	
	·	Office Action in co-pending Application No. 10/203,018 (Attorney Docket No. 05725.0816-01) dated May 19, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/203,254 (Attorney Docket No. 05725.0817-01) dated April 22, 2005 (Ex. Berko)	
	*	Office Action in co-pending Application No. 10/203,375 (Attorney Docket No. 06028.0018-00), dated May 13, 2005 (Ex. Punnose)	
		Office Action in co-pending Application No. 10/312,083 (Attorney Docket No. 05715.1187) dated April 18, 2005 (Ex. Venkat)	
	<u>.</u>	Office Action in co-pending Application No. 10/312,083 (Attorney Docket No. 05725.1187) dated October 1, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/413,217 (Attorney Docket No. 05725.0895-01) dated September 9, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/699,780 (Attorney Docket No. 05725.0895-02) dated September 22, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/699,780 (Attorney Docket No. 05725.0895-02), dated June 15, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/746,612 (Attorney Docket No. 05715.1338-01) dated September 20, 2004 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/746,612 (Attorney Docket No. 05725.1338-01) dated June 15, 2005 (Ex. Venkat)	
		Office Action in co-pending Application No. 10/787,440 (Attorney Docket No. 05725.0816-02) dated August 24, 2004 (Ex. Venkat)	

Examiner	Date	
Signature	Consider	ered

IDS Form PTO/SB/08: Substitute for form 1449A/PTO	Complete if Known		00
	Application Number	09/733,896	
INFORMATION DISCLOSURE	Filing Date	December 12, 2000	
	First Named Inventor	Carlos O. PINZON et al.	
STATEMENT BY APPLICANT	Art Unit	1711	
(Use as many sheets as necessary)	Examiner Name	U.K. RAJGURU	

Attorney Docket Number

11

05725.0806-00000

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Sheet

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Introduction No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
	Office Action in co-pending Application No. 10/787,441, (Attorney Docket No. 05725.0795-02) dated April 5, 2005 (Ex. Venkat)	
	Origins Full StoryTM Lush lash mascara product packaging, believed to have first been sold in 2003	
	Richard J. Lewis, Sr., "Ricinoleic Acid," Hawley's Condensed Chemical Dictionary 972 (13th. 1997)	
	U.S. District Court for the District of New Jersey Civil Docket for L'Oreal S.A. et al. v. Estee Lauder Companies, Inc., et al., Civ. No. 04-1660 (HAA) (filed April 7, 2004) (retrieved January 2, 2005)	
	Harry's Cosmeticology 375-383 (J. B. Wilkinson & R. J. Moore eds., Chemical Pub. 7th ed. 1982)	

Examiner	Date	
Signature	Considered	